

WAC 460-23B-070 Termination of salespersons for issuers. Pursuant to RCW 21.20.080, if a salesperson for an issuer terminates employment or association with an issuer, the issuer and the salesperson must notify the director of the termination by complying with the instructions to Form U5 and filing a paper copy of a completed Form U5 with the securities division within thirty days of termination.

[Statutory Authority: RCW 21.20.070, 21.20.080, 21.20.450. WSR 14-21-101, § 460-23B-070, filed 10/15/14, effective 11/15/14.]